APR U 2 2007

Docket No. 2629-4036

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/735,082

Confirmation No.:

1602

Applicant(s):

James G. Lazar, et al.

Group Art Unit:

1631 TBA

Filed:

December 11, 2003

Examiner:

27123

For:

Customer No.: 2

or: IMMUNOLOGICAL DETECTION OF RNA:DNA HYBRIDS ON MICROARRAYS

EXPRESS MAIL CERTIFICATE

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Alexandria, VA 22313-1450

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Date of Deposit: April 2, 2007

I hereby certify that the following attached paper(s) and/or fee

- 1. Supplemental Information Disclosure Statement (3 pages);
- Form PTO-1449A (1 pg.) with 1 US Patent cited reference (not included) and 15 foreign cited references;
- 3. Form PTO-1449 B (4 pgs.) with 46 cited non-patent references; and
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Docket No. 2629-4036



THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Confirmation No.: 1602

Group Art Unit:

Examiner

Applicant(s):

James G. Lazar, et al.

1631 TRA

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December 11, 2003

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Customer No: IMMUNOLOGICAL DETECTION OF RNA DNA HYBRIDS ON MICROARRAYS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R.

881.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. For each of the following items listed on the enclosed copy of Form PTO-1449B that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed: Khrapko, et al., "Hybridization of DNA with Oligonucleotides Immobilized in Gel: A Convenient Method for Detecting Single Base Substitutions," Mol Biol (Mosk) (USSR) 25(3):718-730 (translation page No. 581-591) (1991)

2.	For each of the following items listed on the enclosed copy of Form PTO-1449 that is
	not in the English language, a concise explanation of the relevance of that item is
	incorporated in the specification of the above-identified application.

- 3. Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No. _____, filed
- No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement 4. since it is being filed in compliance with:

Docket No. 2629-4036 Serial No. 10/735,082

		37 C.F.R. \S 1.97(b)(1), within three months of the filing date of a national application other than a CPA; or
		37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or
		37 C.F.R. $\S1.97(b)(3)$, before the mailing date of a first Office action on the merits; or
		37 C.F.R. $\S1.97(b)(4)$ before the mailing date of a first office action after the filing of an RCE under $\S1.114.$
5.	since in in para Allow	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement t is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified agraph 4 above but before the mailing date of a final action or a Notice of ance (where there has been no prior final action), and is accompanied by one of trifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6.	it is be paragr	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since sing filed in compliance with 37 C.F.R. §1.97(c), after the period specified in aph 4 above but before the mailing date of a final action or a notice of unce (where there has been no prior final action):
		A check in the amount of \$180.00 is enclosed in payment of the fee.
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		e fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 clow.
8.	This I	nformation Disclosure Statement is being filed in compliance with:
	a. 🗌	37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);
	b. 🗌	37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the

Docket No. 2629-4036 Serial No. 10/735,082

	attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).
	c. The fee due under 37 C.F.R. §§1.17(h) is paid as set forth in paragraph 11 below.
Э. 🗌	I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
	I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
10.	This document is accompanied by \square a Search Report \square Communication which was cited in a corresponding \square PCT or \square Foreign counterpart application
11.	A check in the amount of \$\frac{1}{2}\$ is enclosed in payment of the fees due under 37 C.F.R. \\$\\$1.17(h) and 1.17(p).
	Charge the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account No. 13-4500, Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
X	The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 2629-4036. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
	Respectfully submitted, MORGAN & FINNEGAN, L.L.P.
Dated: <u>A</u>	pril 2, 2007 By: Evelyn M. Kwon
MORGA 3 World I New Yor (212) 415	Registration No. <u>54,246</u> N. & FINNEGAN, L.L.P. Financial Center k, NY 10281-2101 5-8700 Telephone 5-8701 Facsimile

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APR U 2 2007	Attorney Docket: 2629-4036	Serial No.: 10/735,082	
DIAM FIGURES	Applicant: James G. Lazar, et al.		
FORMATION DISCLOSURE CITATION	Filing Date: December 11, 2003	Group Art Unit: 1631	

U.S. PATENT / PUBLICATION DOCUMENTS

Examiner Initial		Patent/Publication Number	Publication/Issue Date	Name	Filing Date
/JSB/	1.	6,686,151	February 3, 2004	Lazar et al.	November 6, 2000

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Examiner Initial		Patent Number	Publication Date	Country	Copy Filed	Translation
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/JSB/	3.	EP 0 480 289 B1	12 Mar. 1997	EP	⊠ Yes	☐ Yes ☐ No ☒ Abstract ☐ N/A
/JSB/	4.	GB 2 279 954 A	18 Jan. 1995	GB	⊠ Yes	☐ Yes ☐ No ☐ Abstract ☒N/A
/JSB/	5.	JP 04-4267897 A	24 Sep. 1992	JP	⊠ Yes	☐ Yes ☐ No ☒ Abstract ☐N/A
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/JSB/	7.	WO 96/24694	15 Aug. 1996	wo	⊠ Yes	☐ Yes ☐ No ☐ Abstract ☒N/A
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/JSB/	10.	WO 97/31256 A3	28 Aug. 1997	wo	⊠ Yes	☐ Yes ☐ No ☐ Abstract ☒N/A
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	12.	w0 99/32034 duplica	ਦ ਰ ਧਾ: 1999	wo	⊠ Yes	☐ Yes ☐ No ☐ Abstract ☑N/A
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Examiner	/John S. Brusca/	Date Considered	05/10/2007				
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				Attorney I		Serial No.:		
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Attorney Docket: Serial No: FORM PTO-1449B 2629-4036 10/735.082 Applicant: INFORMATION DISCLOSURE James G. Lazar, et al. CITATION Filing Date: Group Art Unit: December 11, 2003 1631 NON PATENT LITERATURE DOCUMENTS Examiner Cite No. I Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where Initials* published. McGraw et al., "Sequence-Dependent Oligonucleotide-Target Duplex Stabilities: Rules from /JSB/ Empirical Studies with a Set of Twenty-Mers," Biotechniques 8(6):674-678 (1990). Means, et al., "Chemical Modifications of Proteins: History and Applications," Bioconj. /JSB/ Chem. 1:2-12 (1990). Mierendorf, et al., "Sequencing RNA Transcripts Synthesized in Vitro from Plasmids /JSB/ 31 Containing Bacteriophage Promoters," Methods. Enzymol. 152:563-566 (1987). Narang, et al., "Chemical Synthesis of Deoxyoligonucleotides by the Modified Triester /JSB/ Method," Methods Enzymol. vol. 65, Chapter 61, pp. 610-620 (1980). Newman et al., 1989 "Solution Hybridization and Enzyme Immunoassay for Biorinylated duplicate DNA:RNA Hybrids to Detect Enteroviral RNA in Cell Culture" Mol. Cell Probes 3:375-382. Pease, et al., "Light-generated oligonucleotide arrays for rapid DNA sequence analysis," /JSB/ Proc. Natl. Acad. Sci. USA 91(11):5022-5026 (1994). 34. Rychlik, et al., "Optimization of the annealing temperature for DNA amplification in vitro," /JSB/ 35. Nucleic Acids Res. 18(21):6409-6412 (1990). Sambrook et al., Molecular Cloning: A Laboratory Manual, 2nd Edition (Cold Spring Harbor /JSB/ 36 Laboratory Press, Cold Spring Harbor, N.Y.) 1989) Chapters 5, 6). Schena, et al., "Quantitative Monitoring of Gene Expression Patterns with a Complementary /JSB/ DNA Microarray," Science 270:467-470 (1995). 37. Southern Ed M., "DNA Chips. Analysing Sequence by Hybridization to Oligonelectides on a duplicate 38. Large Scale," TIG March, 1996 12(3): 110-115. Southern E. M., "Detection of Specific Sequences Among DNA Fragments Separated by Gel /JSB/ Electrophoresis," J. Mol. Biol. (1975) 98, 503-517. Stimpson, et al., "Real-time detection of DNA hybridization and melting on oligonucleotide /JSB/ arrays by using optical wave guides," Proc. Natl. Acad. Sci. USA 92:6379-6383 (1995). 40. Stollar B.D. and A. Rashtchian, 1987 "Immunochemical Approaches to Gene Probe Assays" duplicate 41 Anal, Biochem, 161:387-394.

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public insignated in a state of the Control the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1459, Alexandria, VA 22313-1459. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FORM PTO-1449B			Attorney Docket: 2629-4036	Serial No.: 10/735,082	
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Examiner Initials* Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the tem (book, m journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country w journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country w journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country w journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country w journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country w journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country w journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country w journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country w journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country w journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country w journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country w journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country w journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country w journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and c					
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